

# SS&C GlobeOp Fact Sheet

## Introduction

SS&C GlobeOp, a division of SS&C Technologies is one of the world's largest administrators with core competencies in private equity, hedge funds, funds of funds and managed accounts. SS&C has been providing outsourcing and fund administration services since 1995.

Key differentiators for our business are significant staff expertise and high employee retention rates, ownership of underlying technology and impeccable client service. Our growth is largely driven by referrals from satisfied clients.

## Our Solutions

SS&C GlobeOp is the 4th largest fund administrator (eVestment survey Q4 2012) with US\$510 billion in alternative assets under administration in North America. SS&C's independent fund administration services for hedge funds will assist fund managers with the day-to-day administrative duties associated with running their hedge fund such as:

- Fund accounting
- Investor relations
- Risk analytics
- Net asset value of the fund
- Middle office services
- Management and financial reporting

A Director leads each team and remains the primary contact to ensure that all client needs are met and exceeded. Our team approach ensures clear communication among all SS&C's resources and provides each client with the highest level of customized service.

## Awards & Kudos for SS&C GlobeOp

### Winner of Best North American Hedge Fund Administrator Award

Hedgeweek Awards 2013

### Winner of Best European Administrator for Managed Accounts Award

HFMWeek Awards 2013

### Top Rated Provider in Single Strategy, Single Provider categories

#### 59 Best-in-Class Awards including:

Client Service, Value, Fund Accounting, Middle Office, Investor Services, Reporting to Investors, Reporting to Fund Managers, Compliance and Taxation, Corporate Administration, Fund Structures, Technology, Registered Alternative Products

Global Custodian Magazine, 2013 Fund Administration Survey

### Ranked #4 on Top 10 North American Fund Administrators by AUA

eVestment Administrator Survey, 4th Quarter 2012

### SS&C GlobeOp nominated for 5 awards, wins Best Technology Administrator

Shortlisted: Best Reporting Services, Best FoF Provider Over 30 bn, Best Managed Account Services Provider, Best Administrator Over 30 bn Client Service

2011 HFMWeek Hedge Fund Services Awards

## Middle and Back Office

- Security master and static data processing derived from independent sources
- Connectivity with various front-office and order management systems
- Daily and monthly trade, cash and position reconciliations, and break resolution
- Settlement processing, fails reporting
- OTC processing
- Loans processing
- Collateral management
- Independent valuations and data enrichment
- Daily portfolio P&L statements
- Exposure calculations and reports
- Corporate action support

## Fund Administration

- Fund valuation
- Pricing and NAV
- Financial reporting
- Daily, weekly, month-end NAV
- Fund performance reporting
- Audit support
- Financial/regulatory reporting and tax services

## Investor Services

- Investment processing services
- Maintain official books and records
- Investor communications – Audits, DDQs, queries, reporting
- Customized reporting to fund managers
- Share registry
- Transfer agency
- Registered office and corporate secretarial services

## Valuation control

- Multiple data and pricing vendors
- Broad product coverage
- Exception-based reporting
- Independent asset valuation
- Transparency for buy-side stakeholders
- Complex instrument coverage

## OTC Services

- Trade capture and maintenance
- Valuation
- Collateral management
- Reconciliation – Position, valuation, cash, collateral
- Document management
- Settlement processing
- Credit derivative auto-novation

## Risk Services

- Integrated with MBO and Administration Services / Delivered to managers and investors
  - GoRisk™ services
  - Position and exposure reporting
  - Customizable stress tests
  - Value-at-Risk (VaR)
  - Calculations with flexible parameters
  - Supports UCITS III directive

## Managed Services – IT/ Data Center

- Data center and hosted services
- Platform as a Service (PaaS)
- Specifically designed facilities
- Disaster recovery and business continuity facilities

## Cash Management / Execution Services

- GoMoney™ portal
  - Cash management portal, daily cash projection reporting, single-source access
- Currenex® services
  - FX sports, forwards, swaps, metals, loans and deposit
- MTS™ BondVision services
  - European fixed income products, real-time indicative prices
- ICELink platform
  - Trade date electronic affirmation of DTCC eligible credit derivatives, real-time trade monitoring and notification

## Regulatory Solutions

- Cloud based solutions for Form PF (Private Fund), FATCA (Foreign Account Tax Compliance Act), the CFTC and NFA's CPO-PQR requirements, Form 13F, Open Protocol and European regulatory initiatives
- Key strengths:
  - Dedicated team of experts
  - State-of-the art technology platform, available stand-alone or as part of SS&C GlobeOp's middle and back office services
  - Intuitive, customizable interfaces enabling easy oversight, transparency, drilldown capability and full documentation of methodologies along with relevant permissioning and security
  - Investment managers can integrate an automated solution into their regulatory reporting process, removing operational burden and reducing cost

**SS&C Auditors** PriceWaterHouseCoopers

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### SS&C Worldwide Headquarters

Windsor, CT

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### North American Offices

New York (several locations), Chicago, Minneapolis, Toronto, Montreal and Denver, Boston and New Jersey

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### International Offices

London, Tokyo, Kuala Lumpur, Sydney, Curacao, Dublin, Bangalore, Hong Kong and Mumbai

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### SS&C GlobeOp's client base includes:

- Number of Funds: 7,000+
- Assets: \$510 billion

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### SS&C GlobeOp Unique Strengths

- Highly experienced and knowledgeable staff
- 600+ Fund Accounting Professionals
- 5+ years average industry experience
- 450 CPAs and Advanced Degrees
- High staff retention
- SAS 70 Type II Certified
- Professional staff training and development

## Private Equity Services

SS&C GlobeOp is the leading provider of private equity cost-effective fund administration, accounting and tax services. Our clients include high profile financial institutions, venture capital firms and private equity divisions of Fortune 500 firms – with capital commitments ranging from start-up funds to multi-billion dollar funds. SS&C's Private Equity Fund Services leverage a leading edge technology platform and specialized private equity team to provide middle and back office services:

- Client implementation
- Fund and partnership accounting
- Capital call/distribution management
- Investor tracking and investor relations support
- Tax support
- Management and financial reporting
- Waterfall accounting
- Side pocket accounting

## Bank Loans

SS&C GlobeOp has the expertise and technology to manage and account for the intricacies and challenges of accurately valuing and managing your bank debt. Today we manage more than 1,350+ loan facilities for more than 20 fund managers and we can promise to properly account for these instruments and accurately report on the results of the investment activity.

## Web Portal

With our state of the art reporting portal, you and your investors can get the information you need faster and easier. Key features include:

- Customized intuitive desktop with market, fund and relevant data
- Underlying manager portfolio, performance, risk and fund liquidity analyses
- Document management
- Ad-hoc reporting
- Investor Relations
- NAV workflow analysis

## Technology Capabilities

- Ownership and control of the underlying technology, AdvisorWare and Total Return
- Centralized portfolio and partnership accounting management "Go Applications"
- General Ledger, Contact Management, Reporting and Investor Web Portal



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